

An Improved Model for the Turbulent PBL

Y. Cheng, V.M. Canuto* and A.M. Howard

NASA-Goddard Institute for Space Studies

2880 Broadway, New York, N.Y. 10025

* Dept. of Applied Physics and Mathematics,

Columbia University, New York, N.Y. 10027

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Abstract

Second order turbulence models of the Mellor and Yamada type have been widely used to simulate the PBL. It is however known that these models have several deficiencies. For example, they all predict a critical Richardson number which is about four times smaller than the Large Eddy Simulation (LES) data, they are unable to match the surface data, and they predict a boundary layer height lower than expected.

In the present model, we show that these difficulties are all overcome by a single new physical input: the use of the most complete expression for both the pressure-velocity and the pressure-temperature correlations presently available. Each of the new terms represents a physical process that was not accounted for by previous models. The new model is presented in three different levels according to Mellor and Yamada's terminology, with new, ready-to-use expressions for the turbulent moments. We show that the new model reproduces several experimental and LES data better than previous models. As far as the PBL is concerned, we show that the model reproduces both the Kansas data as analyzed by Businger et al. in the context of Monin-Obukhov similarity theory for smaller Richardson numbers, as well as the LES and laboratory data up to Richardson numbers of order unity. We also show that the model yields a higher PBL height than the previous models.

1. Introduction

Reynolds stress turbulence modeling began in the early 40's (Chou 1940, 1945) and since then it has been developed by both physicists and engineers, e.g., Rotta (1951), Lumley and Khajeh-Nouri (1974), Launder et al. (1975), Pope (1975), Zeman and Lumley (1979), Speziale (1991), and Shih and Shabbir (1992). The parameterizations of the turbulence closures have been formulated theoretically, verified experimentally (including comparison with the ever more reliable LES data), and applied to various engineering flows. In the geophysical applications, Mellor and Yamada (1974, 1982) pioneered the use of turbulence closure models to study the Planetary Boundary Layer (PBL). The Mellor-Yamada (MY) model and its numerous variants have been more successful in the simulation of the PBL than many of the empirical models and have been widely used to describe the atmospheric PBL and the oceanic mixed layer. The MY models are, however, not without deficiencies. Comparison of MY model results with measured data and LES data show consistent discrepancies, and close examination indicates that the weakness of the model comes from three sources: (1) a crude parameterization for the pressure-velocity and the pressure-temperature correlations, (2) the use of a single "master" length scale (all the length scales corresponding to different processes are assumed to be proportional to a master scale), and (3) a down-gradient approximation for the third order turbulent moments. These three aspects can be handled as three independent components in the model development and each of them deserves a separate discussion. Along with many other efforts, the present authors tried to address items (2) and (3) elsewhere (Cheng and Canuto 1994; Canuto et al. 1994, 2000). The present paper concentrates on item (1), i.e., how to improve the parameterization for the pressure correlations, thus generalizing the MY models and improving the comparison with both measured and LES data.

Let's look at the deficiency (1) of the MY models and its variants (e.g., Hassid and Galperin 1983) more closely. Firstly, these models predict too low a critical Richardson number (around 0.2), beyond which the turbulence ceases to exist, while both measurements and LES data (e.g., Webster 1964; Wang et al. 1996) indicate that

the critical value is around unity. Second, when applied to the surface of the neutral boundary layer, none of these models is capable of differentiating between the vertical and lateral components of the turbulent kinetic energy, $\overline{w^2}$ and $\overline{v^2}$, in fact, they yield identical expressions for the two, while experiments consistently show that the vertical component is much smaller than the lateral one (Table 1 of Mellor and Yamada, 1982; Nieuwstadt 1984, 1985).

As we will show below, these deficiencies are associated with the oversimplification of the parameterizations of the pressure-velocity correlation Π_{ij} and pressure-temperature correlation Π_i^θ which will be corrected by adopting a more complete expression. Both Π_{ij} and Π_i^θ have been shown to contain a slow (return-to-isotropy) part and a rapid part (Launder et al. 1975; Lumley 1978). The rapid parts of both Π_{ij} and Π_i^θ contain velocity terms related to the mean strain-rate tensor S_{ij} , and the vorticity tensor R_{ij} , as well as buoyancy terms related to the heat fluxes. In addition, the rapid part of Π_i^θ also contains a term related to the temperature variance $\overline{\theta^2}$. By contrast, MY models of Π_{ij} include only the slow part and some of the rapid part (the term proportional to ϵS_{ij} , where ϵ is the turbulent kinetic energy); for Π_i^θ , only the slow part is included. Since each of these missing terms represents a specific physical process, it seems appropriate and necessary to incorporate them in the model formulation, as we do in the present paper.

In Section 1, we introduce the general problem. In Sections 2 and 3, we describe the basic equations and the new turbulence closure. In Section 4, we derive the general model equations for the 3D case. In Section 5, we give the detailed and ready-to-use equations for the PBL. The new model is presented in three different "levels" according to MY's terminology. Model constants are determined in Section 6. In Section 7, we compare the new model and the MY model with measured and LES data, where we can see that the new model matches the measured and LES data better than previous models. Conclusions are presented in Section 8.

2. Basic equations

To model a PBL, we need both mean and turbulent variables. They are:

a. First moments

1) Mean velocity, U_i :

$$\frac{DU_i}{Dt} = -\frac{\partial}{\partial x_j} \tau_{ij} - g_i - \frac{1}{\rho} \frac{\partial P}{\partial x_i} - 2\epsilon_{ijk} \Omega_j U_k \quad (1a)$$

2) Mean potential temperature, Θ :

$$\frac{D\Theta}{Dt} = -\frac{\partial}{\partial x_j} h_j \quad (1b)$$

where

$$\frac{D}{Dt} \equiv \frac{\partial}{\partial t} + U_j \frac{\partial}{\partial x_j}, \quad \tau_{ij} \equiv \overline{u_i u_j}, \quad h_i \equiv \overline{u_i \theta} \quad (1c)$$

Here u_i is the i th component of the turbulent velocity fluctuation, $g_i = (0, 0, g)$ is the gravitational acceleration, P is the mean pressure, ρ is the mean density, Ω_j is the rotation of the Earth, τ_{ij} are the Reynolds stresses and h_i is the heat flux.

b. Second moments

1) Reynolds stresses, τ_{ij} :

$$\begin{aligned} \frac{D}{Dt} \tau_{ij} + D_{ij} = & -(\tau_{ik} \frac{\partial U_j}{\partial x_k} + \tau_{jk} \frac{\partial U_i}{\partial x_k}) \\ & + \beta_i h_j + \beta_j h_i - \Pi_{ij} - \epsilon_{ij} \end{aligned} \quad (2a)$$

where

$$\Pi_{ij} \equiv \overline{u_i \frac{\partial p}{\partial x_j}} + \overline{u_j \frac{\partial p}{\partial x_i}} - \frac{2}{3} \delta_{ij} \frac{\partial}{\partial x_k} \overline{p u_k} \quad (2b)$$

$$\epsilon_{ij} \equiv 2\nu \frac{\partial \overline{u_i}}{\partial x_k} \frac{\partial \overline{u_j}}{\partial x_k} = \frac{2}{3} \delta_{ij} \epsilon, \quad \beta_i \equiv \alpha g_i \quad (2c)$$

$$D_{ij} \equiv \frac{\partial}{\partial x_k} \left(\overline{u_i u_j u_k} + \frac{2}{3} \delta_{ij} \overline{p u_k} \right) \quad (2d)$$

Here, α is the volume expansion coefficient, Π_{ij} is the pressure-velocity correlation tensor, ν is the molecular viscosity and ϵ is the dissipation rate of the turbulent kinetic energy e , and D_{ij} is the diffusion term.

Of special interest is the equation for the turbulent kinetic energy e :

$$e = \frac{1}{2} q^2, \quad q^2 \equiv \overline{u_i u_i} \quad (2e)$$

$$\frac{De}{Dt} + \frac{1}{2}D_{ii} = -\tau_{ij}\frac{\partial U_i}{\partial x_j} + \beta_i h_i - \epsilon \quad (2f)$$

2) Heat flux, h_i :

$$\frac{D}{Dt}h_i + D_i^h = -h_j\frac{\partial U_i}{\partial x_j} - \tau_{ij}\frac{\partial \Theta}{\partial x_j} + \beta_i\overline{\theta^2} - \Pi_i^\theta \quad (3a)$$

where

$$\Pi_i^\theta \equiv \theta\frac{\overline{\partial p}}{\partial x_i}, \quad D_i^h = \frac{\partial}{\partial x_j}\overline{u_i u_j \theta} \quad (3b)$$

where Π_i^θ is the pressure-temperature correlation, and D_i^h is the diffusion of the heat flux h_i .

3) Temperature variance, $\overline{\theta^2}$:

$$\frac{D}{Dt}\overline{\theta^2} + D_\theta = -2h_i\frac{\partial \Theta}{\partial x_i} - 2\epsilon_\theta \quad (4a)$$

where

$$\epsilon_\theta \equiv \chi\left(\frac{\partial \theta}{\partial x_j}\right)^2, \quad D_\theta = \frac{\partial}{\partial x_i}\overline{u_i \theta^2} \quad (4b)$$

where χ is the molecular conductivity and D_θ is the diffusion of the temperature variance.

In the present study, terms containing the molecular viscosity ν and molecular conductivity χ have been neglected, except for ϵ_{ij} and ϵ_θ . In addition, in the second moment equations, rotation has also been neglected. The modeling of the third-order moments exceeds the scope of the present paper, but the interested readers may refer to recent work on the subject (Canuto et al. 1994, 2000). As already stated, in this paper we concentrate on the closure parameterization of the correlations Π_{ij} and Π_i^θ , which will be shown to improve the PBL model results.

3. Turbulence closure

a. Pressure correlations

The pressure correlation terms Π_{ij} and Π_i^θ in Eqs.(2b) and (3b) contain three distinct contributions due to (1) turbulence self-interactions (the return-to-isotropy or slow part), (2) mean shear-turbulence interactions (a rapid part), and (3) buoyancy-turbulence interactions (also a rapid part). The most complete models for Π_{ij} and Π_i^θ

are given by (Launder et al. 1975; Zeman and Lumley 1979):

$$\Pi_{ij} = \Pi_{ij}^{(1)} + \Pi_{ij}^{(2)} + \Pi_{ij}^{(3)}, \quad \Pi_i^\theta = \Pi_i^{\theta(1)} + \Pi_i^{\theta(2)} + \Pi_i^{\theta(3)} \quad (5a)$$

where

$$\begin{aligned} \Pi_{ij}^{(1)} &= 2\tau_{pv}^{-1} b_{ij} \\ \Pi_{ij}^{(2)} &= -\frac{4}{5}e S_{ij} - \alpha_1 \Sigma_{ij} - \alpha_2 Z_{ij} \\ \Pi_{ij}^{(3)} &= (1 - \beta_3) B_{ij} \end{aligned} \quad (5b)$$

$$\begin{aligned} \Pi_i^{\theta(1)} &= \tau_{p\theta}^{-1} h_i \\ \Pi_i^{\theta(2)} &= -\frac{3}{4}\alpha_3 \left(S_{ij} + \frac{5}{3} R_{ij} \right) h_j \\ \Pi_i^{\theta(3)} &= \gamma_1 \beta_i \bar{\theta}^2 \end{aligned} \quad (5c)$$

where b_{ij} is the traceless Reynolds stress tensor defined as follows

$$b_{ij} = \overline{u_i u_j} - \frac{2e}{3} \delta_{ij} \quad (5d)$$

The other tensors are defined as follows:

$$S_{ij} = \frac{1}{2} \left(\frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right) \quad R_{ij} = \frac{1}{2} \left(\frac{\partial U_i}{\partial x_j} - \frac{\partial U_j}{\partial x_i} \right) \quad (5e)$$

$$\begin{aligned} \Sigma_{ij} &= b_{ik} S_{kj} + S_{ik} b_{kj} - \frac{2}{3} \delta_{ij} b_{km} S_{mk}, \quad Z_{ij} = R_{ik} b_{kj} - b_{ik} R_{kj} \\ B_{ij} &= \beta_i h_j + \beta_j h_i - \frac{2}{3} \delta_{ij} \beta_k h_k \end{aligned} \quad (5f)$$

where S_{ij} and R_{ij} are shear and vorticity respectively. In most past second-order turbulence models for the PBL, these pressure correlations terms were parameterized much less completely. For example, the MY model and its variants (Mellor 1973, Mellor and Yamada 1974, 1982 and Hassid and Galperin 1983) only consider:

$$\begin{aligned} \Pi_{ij}^{(1)} &= 2\tau_{pv}^{-1} b_{ij}, \quad \Pi_{ij}^{(2)} = -\frac{4}{5}e S_{ij}, \quad \Pi_{ij}^{(3)} = 0 \\ \Pi_i^{\theta(1)} &= \tau_{p\theta}^{-1} h_i, \quad \Pi_i^{\theta(2)} = \Pi_i^{\theta(3)} = 0 \end{aligned} \quad (6)$$

In other words, only the slow terms and one single rapid term (the first term in the expression of $\Pi_{ij}^{(2)}$) are retained; most of the rapid terms are neglected, and no buoyancy

effects on the pressure correlation are included. In Section 6, we will show how these missing terms lead to some of the model deficiencies, e.g., failure to match the data in the neutral surface layer as well as in the stably stratified flows.

4. Algebraic Reynolds stress and heat flux models (AM)

a. Prognostic equations

The mean wind U_i and mean potential temperature Θ are solved prognostically using Eqs.(1a-c).

b. A hierarchy of turbulence models

We present our new AM model as a hierarchy of different levels (levels 3, 2.5 and 2). In the level 3 model, the turbulent kinetic energy ϵ and the temperature variance $\overline{\theta^2}$ are solved from their prognostic equations (see Appendix), while the other second moments are solved from algebraic equations. In the level 2.5 model, the prognostic model equation for $\overline{\theta^2}$ is reduced to an algebraic relation. In the level 2 model, the prognostic equations for both ϵ and $\overline{\theta^2}$ are replaced by corresponding algebraic equations.

The turbulent kinetic energy dissipation rate ϵ and temperature variance dissipation rate ϵ_θ could be solved from their prognostic equations, or they could be parameterized. Here we express them in terms of the corresponding dissipation time scales, τ and τ_θ :

$$\epsilon = \frac{2e}{\tau}, \quad \epsilon_\theta = \frac{\overline{\theta^2}}{\tau_\theta} \quad (7)$$

In Section 6 we will discuss a parameterization of τ and τ_θ .

In the main text of this paper we will concentrate on the level 2.5 and 2 models.

c. Algebraic equations for the second moments

Combining (2a) and (2f), we can obtain the equation for b_{ij} , Eq.(5d):

$$\frac{D}{Dt}b_{ij} + D_{ij} = -\frac{4}{3}eS_{ij} - \Sigma_{ij} - Z_{ij} + B_{ij} - \Pi_{ij} \quad (8a)$$

where

$$D_{ij} \equiv \frac{\partial}{\partial x_k} \left(u_i u_j - \frac{1}{3} u_\ell u_\ell \delta_{ij} \right) u_k \quad (8b)$$

Assuming that the left side of (8a) can be neglected and employing (5b) for the pressure-velocity correlation Π_{ij} , one obtains the following algebraic equation for b_{ij}

$$b_{ij} = -\lambda_1 \epsilon \tau S_{ij} - \lambda_2 \tau \Sigma_{ij} - \lambda_3 \tau Z_{ij} + \lambda_4 \tau B_{ij} \quad (9a)$$

where

$$\begin{aligned} \lambda &= \frac{\tau_{pv}}{\tau}, & \lambda_1 &= \frac{4}{15} \lambda, & \lambda_2 &= \frac{1}{2} (1 - \alpha_1) \lambda \\ \lambda_3 &= \frac{1}{2} (1 - \alpha_2) \lambda, & \lambda_4 &= \frac{1}{2} \beta_5 \lambda \end{aligned} \quad (9b)$$

These model constants will be given in Section 6. Similarly, in the prognostic equation (3a) for the heat flux h_i , if the left side is neglected and (5c) is employed for the pressure-temperature correlation Π_i^θ , one obtains the algebraic equation for h_i at level 3:

$$A_{ij} h_j = -\tau \left(b_{ij} + \frac{2\epsilon}{3} \delta_{ij} \right) \frac{\partial \Theta}{\partial x_j} + \lambda_0 \tau \beta_i \bar{\theta}^2 \quad (10a)$$

where

$$A_{ij} = \lambda_5 \delta_{ij} + \lambda_6 \tau S_{ij} + \lambda_7 \tau R_{ij} \quad (10b)$$

$$\lambda_0 = 1 - \gamma_1, \quad \lambda_5 = \frac{\tau}{\tau_{p\theta}}, \quad \lambda_6 = 1 - \frac{3}{4} \alpha_3, \quad \lambda_7 = 1 - \frac{5}{4} \alpha_3 \quad (10c)$$

At levels 2.5 and 2, we further simplify the problem by neglecting the left side in the prognostic equation for $\bar{\theta}^2$, Eq.(4a), to obtain the algebraic equation

$$\bar{\theta}^2 = -\tau_\theta h_i \frac{\partial \Theta}{\partial x_i} \quad (11)$$

Substituting (11) into (10a), we obtain the algebraic equation for h_i at levels 2.5-2:

$$A'_{ij} h_j = -\tau \left(b_{ij} + \frac{2\epsilon}{3} \delta_{ij} \right) \frac{\partial \Theta}{\partial x_j} \quad (12a)$$

where

$$A'_{ij} = \lambda_5 \delta_{ij} + \lambda_6 \tau S_{ij} + \lambda_7 \tau R_{ij} + \lambda_8 \tau^2 \beta_i \frac{\partial \Theta}{\partial x_j} \quad (12b)$$

and where

$$\lambda_8 = (1 - \gamma_1) \frac{\tau_\theta}{\tau} \quad (12c)$$

5. PBL Model

a. Mean wind and potential temperature equations

In the PBL, several approximations can be made to the equations for the mean wind and temperature. In Eq.(1a), the horizontal pressure gradient can be expressed in terms of the mean geostrophic wind components U_g and V_g as follows:

$$\frac{1}{\rho} \left(\frac{\partial P}{\partial x}, \frac{\partial P}{\partial y} \right) = f_c (V_g, -U_g) \quad (13a)$$

and the rotation term can be approximated as

$$-2\epsilon_{ijk}\Omega_j U_k = f_c \epsilon_{ij3} U_j \quad (13b)$$

where x , y and z are the eastward, northward and vertical directions respectively, $f_c = 2\Omega \sin\phi$ is the Coriolis parameter with Ω the angular velocity of the Earth and ϕ the latitude. In Eq.(1b), the horizontal temperature gradient can be approximated with the thermal wind relation,

$$\left(\frac{\partial \Theta}{\partial x}, \frac{\partial \Theta}{\partial y} \right) = \frac{f_c}{g\alpha} \left(\frac{\partial V_g}{\partial z}, -\frac{\partial U_g}{\partial z} \right) \quad (13c)$$

The equations for the eastward and northward horizontal mean wind components U and V and for the mean potential temperature Θ in the PBL can then be written as:

$$\frac{\partial U}{\partial t} = f_c (V - V_g) - \frac{\partial \overline{uw}}{\partial z} \quad (13d)$$

$$\frac{\partial V}{\partial t} = -f_c (U - U_g) - \frac{\partial \overline{vw}}{\partial z} \quad (13e)$$

$$\frac{\partial \Theta}{\partial t} = -\frac{\partial \overline{w\theta}}{\partial z} + \frac{f_c}{g\alpha} \left(V \frac{\partial U_g}{\partial z} - U \frac{\partial V_g}{\partial z} \right) - W \frac{\partial \Theta}{\partial z} \quad (13f)$$

b. Level 3 model

Since the level 2.5 and level 2 models catch the main features of the second-order closure models and are easy to use, they have become the most popular second-order closure models in the PBL community. We will concentrate on them in the sections below. Yet, the level 3 model has its own strength in that it produces counter gradient

heat fluxes, a phenomenon observed in the upper part of the PBL. In the Appendix we will present the details of the level 3 model for completeness and for future reference.

c. Level 2.5 model

In the level 2.5 model, the turbulent kinetic energy ϵ is solved from its prognostic equation:

$$\frac{\partial \epsilon}{\partial t} = -\frac{\partial}{\partial z} \frac{1}{2} \overline{u^2 w + v^2 w + w^3} - \frac{\partial U}{\partial z} \overline{uw} - \frac{\partial V}{\partial z} \overline{vw} + g\alpha \overline{w\theta} - \epsilon \quad (14)$$

The differential equation for the temperature variance $\overline{\theta^2}$ is given by (11) with the index i replaced by 3. From the algebraic equations for $\overline{u_i u_j}$ and $\overline{u_i \theta}$, Eqs.(9a) and (12a), we obtain:

$$\overline{u^2} = \frac{1}{3} q^2 - \frac{\tau}{3} \left[(\lambda_2 + 3\lambda_3) \frac{\partial U}{\partial z} \overline{uw} - 2\lambda_2 \frac{\partial V}{\partial z} \overline{vw} + 2\lambda_4 g\alpha \overline{w\theta} \right] \quad (15a)$$

$$\overline{v^2} = \frac{1}{3} q^2 - \frac{\tau}{3} \left[(\lambda_2 + 3\lambda_3) \frac{\partial V}{\partial z} \overline{vw} - 2\lambda_2 \frac{\partial U}{\partial z} \overline{uw} + 2\lambda_4 g\alpha \overline{w\theta} \right] \quad (15b)$$

$$\overline{w^2} = \frac{1}{3} q^2 + \frac{\tau}{3} \left[(3\lambda_3 - \lambda_2) \left(\frac{\partial U}{\partial z} \overline{uw} + \frac{\partial V}{\partial z} \overline{vw} \right) + 4\lambda_4 g\alpha \overline{w\theta} \right] \quad (15c)$$

$$\overline{uv} = -(\lambda_2 + \lambda_3) \frac{\tau}{2} \left(\frac{\partial V}{\partial z} \overline{uw} + \frac{\partial U}{\partial z} \overline{vw} \right) \quad (15d)$$

$$\begin{aligned} \overline{uw} = & -\frac{\tau}{2} \frac{\partial U}{\partial z} \left[\frac{1}{2} \left(\lambda_1 - \frac{4}{3} \lambda_2 \right) q^2 + (\lambda_2 - \lambda_3) \overline{u^2} + (\lambda_2 + \lambda_3) \overline{w^2} \right] \\ & - (\lambda_2 - \lambda_3) \frac{\tau}{2} \frac{\partial V}{\partial z} \overline{uv} + \lambda_4 \tau g\alpha \overline{u\theta} \end{aligned} \quad (15e)$$

$$\begin{aligned} \overline{vw} = & -\frac{\tau}{2} \frac{\partial V}{\partial z} \left[\frac{1}{2} \left(\lambda_1 - \frac{4}{3} \lambda_2 \right) q^2 + (\lambda_2 - \lambda_3) \overline{v^2} + (\lambda_2 + \lambda_3) \overline{w^2} \right] \\ & - (\lambda_2 - \lambda_3) \frac{\tau}{2} \frac{\partial U}{\partial z} \overline{uv} + \lambda_4 \tau g\alpha \overline{v\theta} \end{aligned} \quad (15f)$$

$$\overline{u\theta} = -\lambda_5^{-1} \tau \left[\frac{\partial \Theta}{\partial z} \overline{uw} + \frac{1}{2} (\lambda_6 + \lambda_7) \frac{\partial U}{\partial z} \overline{w\theta} \right] \quad (15g)$$

$$\overline{v\theta} = -\lambda_5^{-1}\tau \left[\frac{\partial\Theta}{\partial z}\overline{vw} + \frac{1}{2}(\lambda_6 + \lambda_7)\frac{\partial V}{\partial z}\overline{w\theta} \right] \quad (15h)$$

$$\overline{w\theta} = -\lambda_5^{-1}\tau \left[\frac{\partial\Theta}{\partial z}\overline{w^2} + \frac{1}{2}(\lambda_6 - \lambda_7) \left(\frac{\partial U}{\partial z}\overline{u\theta} + \frac{\partial V}{\partial z}\overline{v\theta} \right) \right] \left[1 + \lambda_5^{-1}\lambda_8g\alpha\tau^2\frac{\partial\Theta}{\partial z} \right]^{-1} \quad (15i)$$

Eqs.(15) can be solved using symbolic algebra. The results are:

$$(\overline{uw}, \overline{vw}) = -K_M \left(\frac{\partial U}{\partial z}, \frac{\partial V}{\partial z} \right) \quad (16a)$$

$$\overline{w\theta} = -K_H \frac{\partial\Theta}{\partial z} \quad (16b)$$

$$K_M = e\tau S_M, \quad K_H = e\tau S_H \quad (16c)$$

$$S_M = \frac{1}{D}(s_0 + s_1G_H + s_2G_M) \quad (17a)$$

$$S_H = \frac{1}{D}(s_4 + s_5G_H + s_6G_M) \quad (17b)$$

where G_H and G_M are the dimensionless gradients for the mean potential temperature and the mean velocity

$$G_H \equiv (\tau N)^2, \quad G_M \equiv (\tau S)^2 \quad (18a)$$

$$N^2 \equiv g\alpha\frac{\partial\Theta}{\partial z}, \quad S^2 \equiv \left(\frac{\partial U}{\partial z} \right)^2 + \left(\frac{\partial V}{\partial z} \right)^2 \quad (18b)$$

and

$$D = d_0 + d_1G_H + d_2G_M + d_3G_H^2 + d_4G_HG_M + d_5G_M^2 \quad (18c)$$

$$d_0 = 3\lambda_5^2, \quad d_1 = \lambda_5(7\lambda_4 + 3\lambda_8)$$

$$d_2 = \lambda_5^2(3\lambda_3^2 - \lambda_2^2) - \frac{3}{4}(\lambda_6^2 - \lambda_7^2), \quad d_3 = \lambda_4(4\lambda_4 + 3\lambda_8)$$

$$d_4 = \lambda_4 \left[\lambda_2\lambda_6 - 3\lambda_3\lambda_7 - \lambda_5(\lambda_2^2 - \lambda_3^2) \right] + \lambda_5\lambda_8(3\lambda_3^2 - \lambda_2^2)$$

$$d_5 = \frac{1}{4}(\lambda_2^2 - 3\lambda_3^2)(\lambda_6^2 - \lambda_7^2), \quad s_0 = \frac{3}{2}\lambda_1\lambda_5^2$$

$$s_1 = -\lambda_4(\lambda_6 + \lambda_7) + 2\lambda_4\lambda_5(\lambda_1 - \frac{1}{3}\lambda_2 - \lambda_3) + \frac{3}{2}\lambda_1\lambda_5\lambda_8$$

$$s_2 = -\frac{3}{8}\lambda_1(\lambda_6^2 - \lambda_7^2), \quad s_4 = 2\lambda_5, \quad s_5 = 2\lambda_4$$

$$s_6 = \frac{2}{3}\lambda_5(3\lambda_3^2 - \lambda_2^2) - \frac{1}{2}\lambda_1\lambda_5(3\lambda_3 - \lambda_2) + \frac{3}{4}\lambda_1(\lambda_6 - \lambda_7) \quad (19)$$

d. Realizability conditions for level 2.5 model

Realizability conditions are common to all second-order closure models. For the present 2.5 level model, there are a few limitations on the values of S_M and S_H , (17a) and (17b). Specifically, the two variables G_M and G_H must be limited to certain domains outside of which the model may produce unphysical results since some underlying assumptions (e.g., that departure from isotropy be small) may no longer be valid.

Let us first consider the limitation on buoyancy. G_H may be negative (unstable), zero (neutral) or positive (stable). Assuming that production equals dissipation for the turbulence kinetic energy e [see Eq. (22) below], and taking the limit $G_M \rightarrow 0$ and noticing that G_M is always non-negative, we have

$$S_H(0, G_H)G_H + 2 > 0 \quad (20a)$$

Substituting Eq.(17b) into Eq.(20a) yields the relation

$$G_H > \frac{-(s_4 + 2d_1) + [(s_4 + 2d_1)^2 - 8d_0(s_5 + 2d_3)]^{1/2}}{2(s_5 + 2d_3)} \quad (20b)$$

For the model constants used here (see Section 6), this minimum value of G_H is -10.8 ; the negative value indicates that it occurs in the unstable region.

Next, we examine the limitation on the shear number. G_M should always be non-negative. Following Hassid and Galperin (1983), who argue that an increase of shear should not result in a decrease of normalized momentum flux, we apply the following condition,

$$\frac{d}{dG_M} \left[\frac{(\overline{uw}^2 + \overline{vw}^2)^{1/2}}{e} \right] \geq 0 \quad (21a)$$

Using Eqs.(16-18), Eq.(21a) can be reduced to a cubic inequality in G_M ,

$$\begin{aligned} & s_2 d_5 G_M^3 + [(3s_1 d_5 - s_2 d_4)G_H + 3s_0 d_5 - s_2 d_2]G_M^2 + [(s_1 d_4 - 3s_2 d_3)G_H^2 \\ & + (s_1 d_2 + s_0 d_4 - 3s_2 d_1)G_H - 3s_2 d_0 + s_0 d_2]G_M - (s_0 + s_1 G_H)(d_3 G_H^2 + d_1 G_H + d_0) < 0 \end{aligned} \quad (21b)$$

Although Eq.(21b) can be solved exactly, one may use the following approximate expression based on the fact that the terms containing s_2 and d_5 are relatively small,

$$G_M < \frac{d_0 + d_1 G_H + d_3 G_H^2}{d_2 + d_4 G_H} \quad (21c)$$

e. Level 2 model

If we assume that production and dissipation equal each other, the differential equation for ϵ , Eq.(14), reduces to

$$S_M(G_M, G_H)G_M - S_H(G_M, G_H)G_H - 2 = 0 \quad (22)$$

which can be re-written as an equation for G_M (or for G_H) that depends on only one parameter, the gradient Richardson number,

$$Ri = \frac{G_H}{G_M} = \frac{N^2}{S^2} \quad (23a)$$

The resulting equation is:

$$(c_1 Ri^2 + c_2 Ri + c_3)G_M^2 + (c_4 Ri + c_5)G_M + c_6 = 0 \quad (23b)$$

where

$$\begin{aligned} c_1 &= s_5 + 2d_3, & c_2 &= -s_1 + s_6 + 2d_4, & c_3 &= -s_2 + 2d_5 \\ c_4 &= s_4 + 2d_1, & c_5 &= -s_0 + 2d_2, & c_6 &= 2d_0 \end{aligned} \quad (23c)$$

Eq.(23b) is a simple algebraic expression for the variable G_M . Substituting the G_M solved from (23b) into (17a-b) we can plot the stability functions S_M and S_H as function of Ri (Figs. 1 and 2).

The critical Richardson number Ri_c , beyond which stable stratification effectively suppresses the turbulence, can be found by considering the limit $\epsilon \rightarrow 0$, i.e., $G_M \rightarrow \infty$. In this limit, Eq.(23b) is satisfied only if the coefficient of the quadratic term vanishes, which yields

$$Ri_c = \frac{-c_2 + (c_2^2 - 4c_1 c_3)^{\frac{1}{2}}}{2c_1} \quad (24a)$$

Using the model constants determined in Section 6, we obtain

$$Ri_c = 0.96 \quad (24b)$$

Although most previous second order closure models give $Ri_c \sim 0.2$, there is a variety of data that are in favor of a Ri_c of order one. Early laboratory data by Taylor (as cited in Monin and Yaglom, 1971) showed that turbulent exchange exists even when $Ri > 1$. In 1964, Webster's laboratory measurements showed that mixing persists up to $Ri \sim 1$. In the oceanic PBL, Martin (1985) showed that $Ri \sim 1$ is needed to obtain the correct mixed layer depth at Papa and November stations. More recently, DNS (direct numerical simulation, Gerz et al., 1989) and LES (Wang et al. 1996, Kosovic and Curry 2000) show that turbulence exists up to $Ri \sim 1$. Historically, the criterion

$$Ri > \frac{1}{4} \quad (24c)$$

was established by Miles (1961) and Howard (1961) on the basis of linear stability analysis. However, when nonlinear interactions were included, Abarbanel et al. (1984) showed that the sufficient and necessary condition for stability is not (24c) but that

$$Ri \geq 1 \quad (24d)$$

which is in agreement with our result (24b).

6. Determination of model constants

In order to determine the model constants defined in (9b), (10c) and (12c), we will employ the related time scale ratio expressions formulated in a recent theoretical turbulence model that was based in part on RNG (Renormalization Group) methods and whose predictions were tested on different flows (Canuto and Dubovikov, 1996a,b; 1997):

$$\begin{aligned} \lambda = \frac{\tau_{pv}}{\tau} = \frac{2}{5}, \quad \lambda_1 = \frac{4}{15}\lambda = 0.107, \quad \lambda_5 = \frac{\tau}{\tau_{p\theta}} = 5(1 + \sigma_{t0}^{-1}) \\ \lambda_8 = (1 - \gamma_1)\frac{\tau_\theta}{\tau} = (1 - \gamma_1)\sigma_{t0}, \quad \gamma_1 = \frac{1}{3} \end{aligned} \quad (25a)$$

where σ_{t0} is the turbulent Prandtl number in neutral flows and will be determined later on. To determine λ_2 , λ_3 and λ_4 , we adopt the following expressions (Shih and Shabbir, 1992; Canuto, 1994):

$$\alpha_1 = 6\alpha_5, \quad \alpha_2 = \frac{2}{3}(2 - 7\alpha_5), \quad \alpha_5 = \frac{1}{10}\left(1 + \frac{4}{5}F^{1/2}\right)$$

$$F = 0.64, \quad \beta_5 = \frac{1}{2} \quad (25b)$$

Substituting (25a) and (25b) in (9b) yields

$$\lambda_2 = 0.0032, \quad \lambda_3 = 0.0864, \quad \lambda_4 = 0.1 \quad (25c)$$

We parameterize ϵ (the dissipation rate of ϵ) as

$$\epsilon = \frac{q^3}{B_1 \ell} \quad (26a)$$

which corresponds to

$$\tau = \frac{B_1 \ell}{q} \quad (26b)$$

where the dissipation length scale $\ell \sim \kappa z$ as $z \sim 0$ and the constant B_1 is defined as $B_1 = q^3/u_*^3$ where u_* is the friction velocity, and the value of B_1 must be determined. We show that B_1 is related to the values of λ_1, λ_2 and λ_3 by assuming a logarithmic wind profile near the neutral surface layer (taking the mean wind direction as the x direction). The result is:

$$B_1 = \left(\frac{1}{4}\lambda_1 - \lambda_3^2 + \frac{1}{3}\lambda_2^2\right)^{-3/4} = 19.3 \quad (27)$$

To determine the values of λ_6 , λ_7 and σ_{t0} , we need some auxiliary relations. First, from (15g,i) an expression for the ratio of the vertical and longitudinal heat fluxes can be derived,

$$-\frac{\overline{w\theta}}{\overline{u\theta}} = \lambda_5 G_M^{-1/2} \left[\sigma_t + \frac{1}{2}(\lambda_6 + \lambda_7) \right]^{-1} \quad (28a)$$

where $\sigma_t \equiv S_M/S_H$ is the turbulent Prandtl number. Webster (1964)'s experimental data show that this ratio approaches unity as $Ri \sim 0$,

$$\lambda_5 B_1^{-2/3} \left[\sigma_{t0} + \frac{1}{2}(\lambda_6 + \lambda_7) \right]^{-1} = 1 \quad (28b)$$

where we have used the fact that $G_M = B_1^{4/3}$ at $Ri = 0$. Second, similar to the derivation of (26b), in a near-neutral surface layer, from (15g,i) we obtain

$$\lambda_5^2 - \frac{1}{3}B_1^{4/3}(1 + \lambda_2 - 3\lambda_3)\sigma_{t0}\lambda_5 - \frac{1}{4}B_1^{4/3}(\lambda_6 - \lambda_7)(2\sigma_{t0} + \lambda_6 + \lambda_7) = 0 \quad (28c)$$

Using (10c) and (28b-c), we obtain, in the near neutral surface layer,

$$\alpha_3 = \frac{4}{5} + \frac{4}{5}\sigma_{t0} \left[1 - \frac{1}{3}B_1^{2/3}(1 + \lambda_2 - 3\lambda_3) \right] \quad (28d)$$

and λ_6 and λ_7 can be obtained using (28d) in (10c). We still need to determine a value for σ_{t0} in a consistent manner. From the third expression of (25a) and (28b-d), σ_{t0} is found to be related to B_1 , λ_2 and λ_3 as follows:

$$\sigma_{t0} = \frac{75 - 3B_1^{2/3} + 3^{1/2} \left[1875 + 150B_1^{2/3} + (403 + 400\lambda_2 - 1200\lambda_3)B_1^{4/3} \right]^{1/2}}{2B_1^{2/3} \left[3 + 4B_1^{2/3}(1 + \lambda_2 - 3\lambda_3) \right]} = 0.82 \quad (28e)$$

So it follows that:

$$\lambda_5 = 11.04, \quad \lambda_6 = 0.786, \quad \lambda_7 = 0.643, \quad \lambda_8 = 0.547 \quad (28f)$$

To summarize, the model constants are determined to be:

$$\begin{aligned} (B_1, \lambda_1, \lambda_2, \lambda_3, \lambda_4) &= (19.3, 0.107, 0.032, 0.0864, 0.1) \\ (\lambda_5, \lambda_6, \lambda_7, \lambda_8) &= (11.04, 0.786, 0.643, 0.547) \end{aligned} \quad (29)$$

7. Comparison with Mellor-Yamada model and experimental data

a. Mellor-Yamada model: a special case

The MY model (Mellor and Yamada, 1982) corresponds to:

$$\begin{aligned} \lambda_1 &= 4 \left[6 \left(\frac{A_1}{B_1} \right)^2 + B_1^{-4/3} \right], \quad \lambda_2 = \lambda_3 = \lambda_4 = \frac{1}{2}\lambda = \frac{3A_1}{B_1} \\ \lambda_5 &= \frac{B_1}{3A_2}, \quad \lambda_6 = \lambda_7 = 1, \quad \lambda_8 = \frac{\tau_\theta}{\tau} = \frac{B_2}{B_1} \end{aligned} \quad (30a)$$

Thus,

$$\lambda = \frac{6A_1}{B_1}, \quad \alpha_1 = \alpha_2 = \alpha_3 = \gamma_1 = 0 \quad (30b)$$

where the constants A_1, B_1, A_2 and B_2 are determined by Mellor and Yamada to be:

$$(A_1, B_1, A_2, B_2) = (0.92, 16.6, 0.74, 10.1) \quad (30c)$$

which correspond to a set of value for the model constants in the present model

$$(B1, \lambda_1, \lambda_2, \lambda_3, \lambda_4) = (16.6, 0.168, 0.166, 0.166, 0.166)$$

$$(\lambda_5, \lambda_6, \lambda_7, \lambda_8) = (7.48, 1, 1, 0.608) \quad (30d)$$

Substituting (30d) into (19), (23c) and (24a) yields

$$Ri_c = 0.193 \quad (MY\ model) \quad (30e)$$

One of the deficiencies of the MY model, as Mellor and Yamada pointed out themselves, is that in a neutral surface layer, the model cannot distinguish $\overline{v^2}$ and $\overline{w^2}$, the lateral and vertical components of the velocity variance, while experimental data consistently show that $\overline{w^2}$ is always significantly smaller than $\overline{v^2}$. The present model solves this problem by incorporating more complete pressure correlations into the model closure so that the new model has more freedom to allow $\overline{v^2}$ and $\overline{w^2}$ to be different. To see this more closely, in a neutral surface layer, we reduce (15a-c) of the present model to:

$$\frac{\overline{u^2}}{q^2} = \frac{1}{3} + \frac{\lambda_2 + 3\lambda_3}{3} \quad (31a)$$

$$\frac{\overline{v^2}}{q^2} = \frac{1}{3} - \frac{2\lambda_2}{3} \quad (31b)$$

$$\frac{\overline{w^2}}{q^2} = \frac{1}{3} + \frac{\lambda_2 - 3\lambda_3}{3} \quad (31c)$$

In the MY model $\lambda_2 = \lambda_3$, which makes $\overline{v^2} = \overline{w^2}$, while in the present model λ_2 and λ_3 are two independent parameters, and we choose to determine them according to Shih and Shabbir (1992)'s expressions that are derived from theoretical considerations and have been shown to be consistent with measured data.

b. Comparison with measured and LES data

The turbulent Prandtl number, $\sigma_t = K_M/K_H$, is one of the important parameters of turbulence. We compare the inverse of σ_t as a function of the gradient Richardson

number Ri resulting from both the present model and the MY model with the experimental data of Webster (1964). It is clear that turbulence in the stably stratified flow exists well beyond the MY critical value $Ri \approx 0.2$. According to the experimental data, the critical value of Ri should be of order unity, and the present model falls within the range of the measured data (Fig.3).

We also compare the vertical and lateral heat flux ratio $-\overline{w\theta}/\overline{u\theta}$ (as a function of Ri) resulting from both the present model and the MY model with the experimental data of Webster (1964). Webster described the ratio as "(being) seen to fall catastrophically from unity in neutral conditions to only about 0.5 at Ri equal to 0.2 and even less for higher Richardson numbers." The present model gives the critical Richardson number $Ri_c = 0.96$, in agreement with the data (Fig.4).

We then compare the present model with the recent LES of Kosovic and Curry (2000) on a stably stratified PBL, at hour 12 of the high-resolution case NLHRB, when a quasi-steady state is reached. In our simulation we use the level 2 model since we are particularly interested in the behavior of the model when the gradient Richardson number Ri varies; for the length scale formula we use

$$\ell_0 = 0.1 \frac{\int_0^\infty zqdz}{\int_0^\infty qdz}, \quad \ell_1 = \frac{\kappa z \ell_0}{\ell_0 + \kappa z}$$

$$\ell = \begin{cases} \ell_1 & : \frac{\partial\theta}{\partial z} \leq 0 \\ \min(\ell_1, 0.53 \frac{z}{N}) & : \frac{\partial\theta}{\partial z} > 0 \end{cases} \quad (32)$$

One can see from figures 5-7 that the present model is more consistent with the LES result than the MY model, as we will discuss below.

It is very informative to examine the nondimensional shear and potential temperature gradients defined as

$$\Phi_m = \frac{\kappa z}{u_*} S, \quad \Phi_h = \frac{\kappa z u_*}{|\overline{w\theta}_s|} \frac{\partial\theta}{\partial z} \quad (33)$$

where u_* and $\overline{w\theta}_s$ are the friction velocity and the surface potential temperature flux respectively and S is the shear given by Eq.(18b). In Figs. 5 and 6 we plot $1/\Phi_m$ and $1/\Phi_h$ as function of Ri . The graphs indicate that the present model can reproduce the observed Kansas data as analyzed by Businger et al. (1971) in the context of

Monin-Obukhov similarity theory for $Ri < 0.2$. For $Ri > 0.2$, the present model shows that turbulence still exists although is weaker, in agreement with Kosovic and Curry (2000)'s LES data. This result is also in agreement with Brown et al. (1994) and Andren (1995). In the same figures we also plot the results of the MY model, which deviate from the Kansas data for $Ri < 0.2$, and fail to reproduce the turbulence beyond $Ri = 0.2$ found in the LES.

The PBL height is one of the most important quantities in any PBL modeling. The PBL height is usually defined as the height at which the turbulent kinetic energy or the magnitude of the momentum flux decreases to a small fraction of the corresponding surface value ; or it may be defined as the height at which the (positive) temperature gradient reaches a certain value from below. In any case, the top of the PBL lies in a region where the turbulence is stably stratified and, given the mean profiles of the wind and the temperature (and thus given Ri), a higher intensity level of turbulence yields a greater PBL height. The MY model, however, underestimates the PBL height (Yamada and Mellor 1975). Since the present model predicts larger critical Richardson number and produces more turbulence for a given Richardson number, greater PBL heights can be achieved (Fig.7).

8. Conclusions

With a single new input, the most updated expressions for the pressure-velocity and pressure-temperature correlations, we have derived a second-order closure turbulence model to describe the PBL. One of the main features of the new model is that it yields a critical Richardson number of order unity, rather than ~ 0.2 as given by most previous models. The larger critical Richardson number is in agreement with measured and LES data and the stability analysis that includes non-linear interactions. The new model reproduces the Kansas data as analyzed by Businger et al. (1971) for Richardson numbers smaller than 0.2 as well as the LES and laboratory data for Richardson numbers up to unity. Another improvement is that the present model allows a match of the surface data, which was not possible in previous second order closure PBL models. In addition, the new model produces greater PBL height than the previous models.

Figure Captions

Fig.1. The stability function S_M versus the gradient Richardson number Ri . The solid line represents the present model; the dotted line, the MY model.

Fig.2. The stability function S_H versus the gradient Richardson number Ri . The solid line represents the present model; the dotted line, the MY model.

Fig.3. The inverse turbulent Prandtl number σ_t^{-1} (normalized by its value for neutral stratification) versus the gradient Richardson number. The solid line is the result of the present model at level 2. The dotted line represents the level 2 MY model. The experimental data by Webster (1964) are redrawn here as filled circles. The present model yields a much larger critical Richardson number (≈ 1) than the Mellor-Yamada model (≈ 0.2).

Fig.4. Ratio of the rates of heat transport in the w-direction (vertical) and the u-direction (horizontal, along the mean flow), $-\overline{w\theta}/\overline{u\theta}$, versus the Richardson number. The solid line represents the result of the present model, while the dotted line represents the MY model. The experimental data (Webster, 1964) are redrawn here as filled circles.

Fig.5. Nondimensional shear as a function of the gradient Richardson number. The crosses represent the LES simulation of Kosovic and Curry (2000), case NLHRB (their Eq. 27). The solid line represents simulation results using the present model, while the dotted line, simulation results using the MY model. The dashed line represents the measured Kansas data (Businger et al. 1971).

Fig.6. Similar to Fig.5 but for the nondimensional potential temperature gradient (Eq. 28 of Kosovic and Curry, 2000).

Fig.7. PBL height as a function of the dimensionless time tf_c , where f_c is the Coriolis parameter. Cross: LES result; solid line: present model result; dotted line:

MY model result.

Appendix

The Level 3 PBL model

In the level 3 PBL model, the turbulent temperature variance $\overline{\theta^2}$ is solved from its prognostic equation (instead of from an algebraic equation):

$$\frac{D}{Dt}\overline{\theta^2} + \frac{\partial}{\partial z}\overline{w\theta^2} = -2\frac{\partial\Theta}{\partial z}\overline{w\theta} - 2\frac{\overline{\theta^2}}{\tau_\theta} \quad (A1)$$

From (10a), the algebraic equation for the heat flux $\overline{w\theta}$ is:

$$\overline{w\theta} = -\lambda_5^{-1}\tau \left[\frac{\partial\Theta}{\partial z}\overline{w^2} + \frac{1}{2}(\lambda_6 - \lambda_7) \left(\frac{\partial U}{\partial z}\overline{u\theta} + \frac{\partial V}{\partial z}\overline{v\theta} \right) \right] + \lambda_5^{-1}\lambda_0 g\alpha\tau\overline{\theta^2} \quad (A2)$$

All the other algebraic equations for the Reynolds stress and the heat flux are the same as the level 2.5 model, (15a-h), except (15i), which is replaced by (A2) in the level 3 model. We solve (15a-h) and (A2) using symbolic algebra and the results are:

$$(\overline{uw}, \overline{vw}) = -\epsilon\tau S_M \left(\frac{\partial U}{\partial z}, \frac{\partial V}{\partial z} \right), \quad \overline{w\theta} = -\epsilon\tau S_H \frac{\partial\Theta}{\partial z} + \gamma_c \quad (A3)$$

where

$$\gamma_c = \frac{3[\lambda_5 + \lambda_4 G_H + \lambda_5(\lambda_3^2 - \frac{1}{3}\lambda_2^2)G_M]}{D} \lambda_0 g\alpha\tau\overline{\theta^2} \quad (A4)$$

is the counter-gradient term which is absent in the level 2.5 model and D is the same as in (18c). The structure of the stability function S_M differs from the S_M in the level 2.5 model (17a) by an extra term,

$$S_M = \frac{1}{D} \left[s_0 + s_1 G_H + s_2 G_M + s_3 \lambda_0 (g\alpha\tau)^2 \frac{\overline{\theta^2}}{e} \right] \quad (A5)$$

where

$$s_3 = \frac{1}{2}\lambda_4 [2\lambda_5(\lambda_2 + 3\lambda_3) + 3(\lambda_6 + \lambda_7)] \quad (A6)$$

The form of the stability function S_H remains the same as that of the level 2.5 model (17b). The expressions for the model constants are the same as in (19) except that in level 3 model $\lambda_8 = 0$. In addition, the constant λ_0 needed in (A4) is set to 2/3 according to (10c) and (25a).

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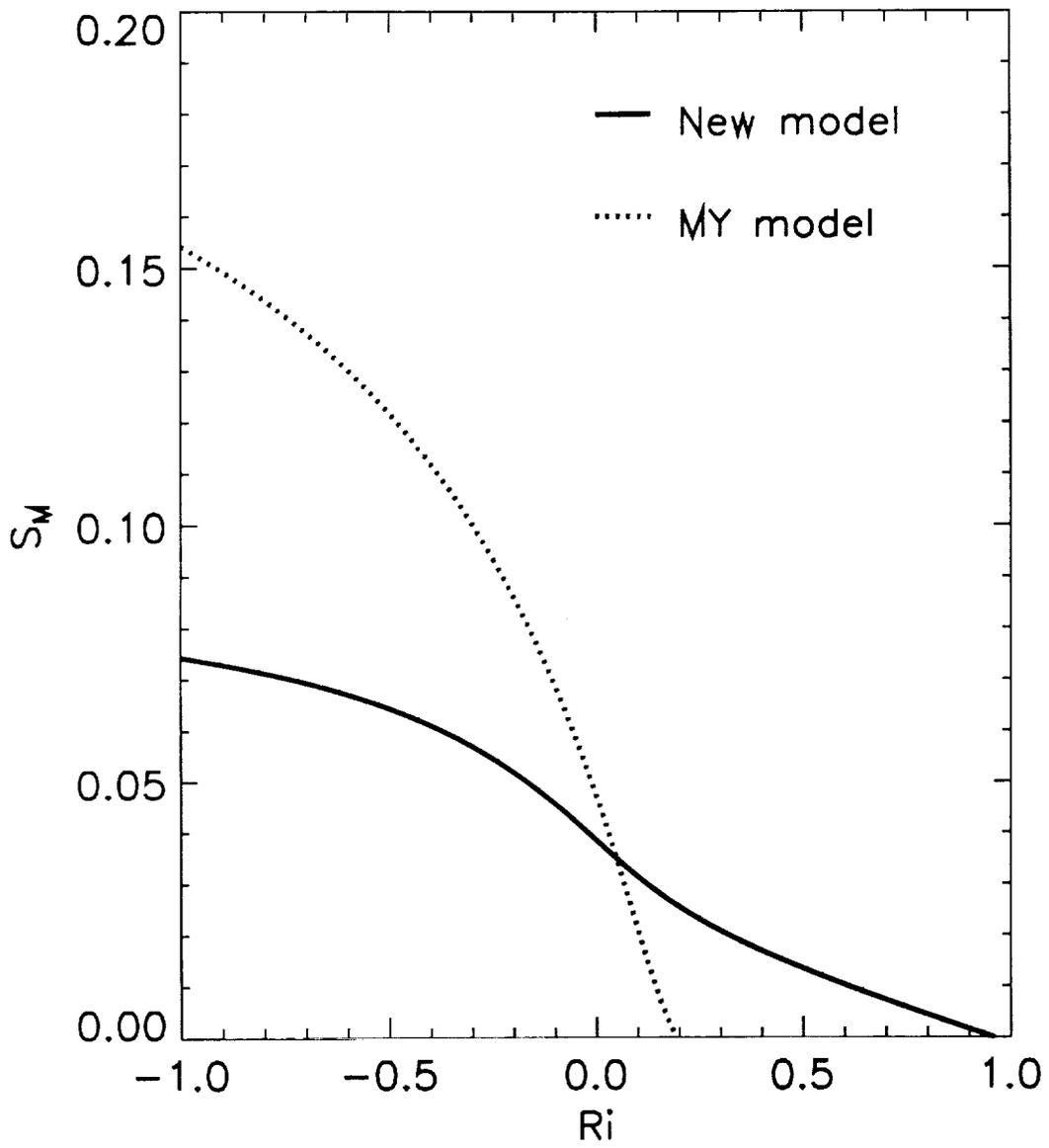


Fig. 1

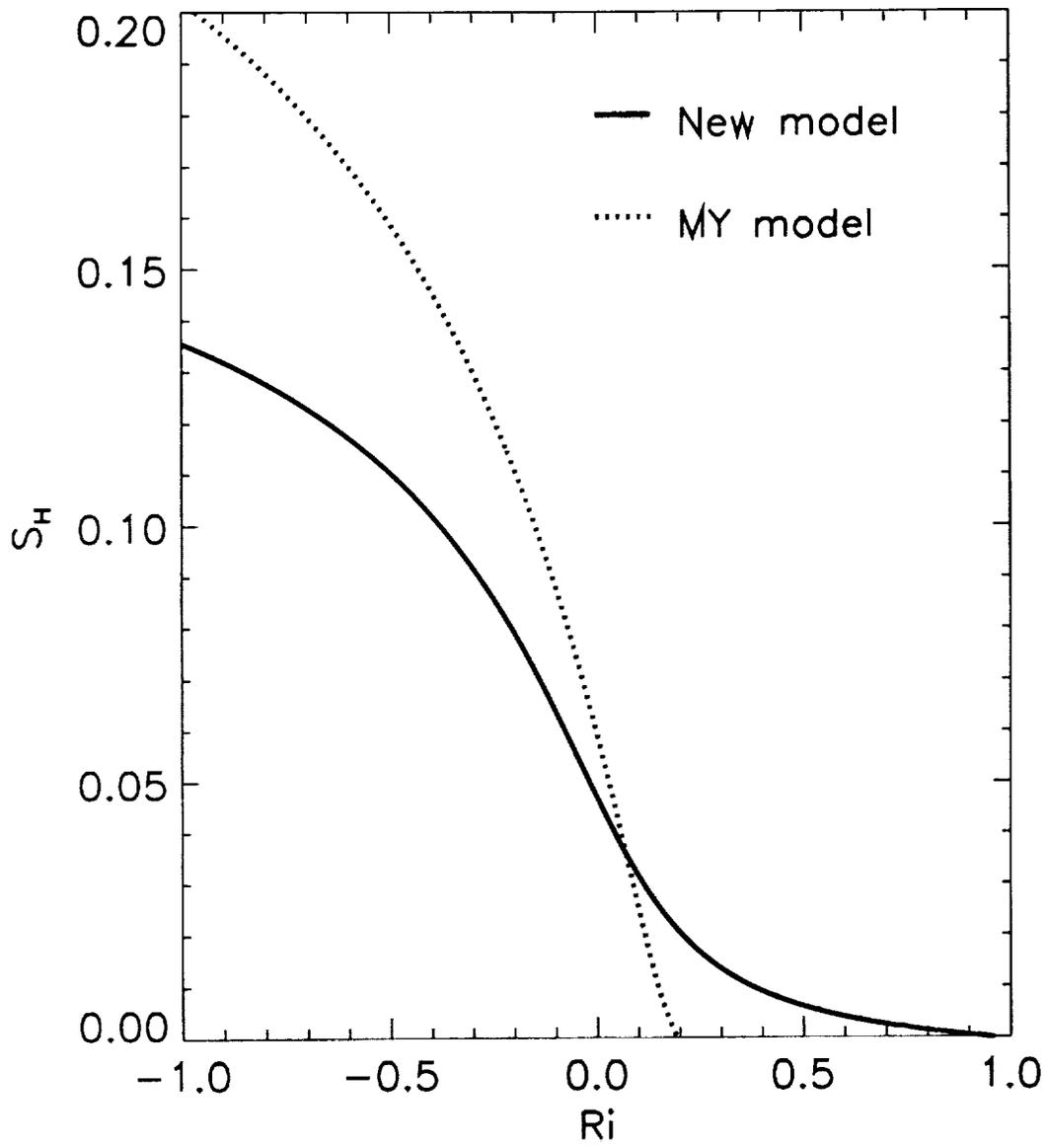


Fig. 2

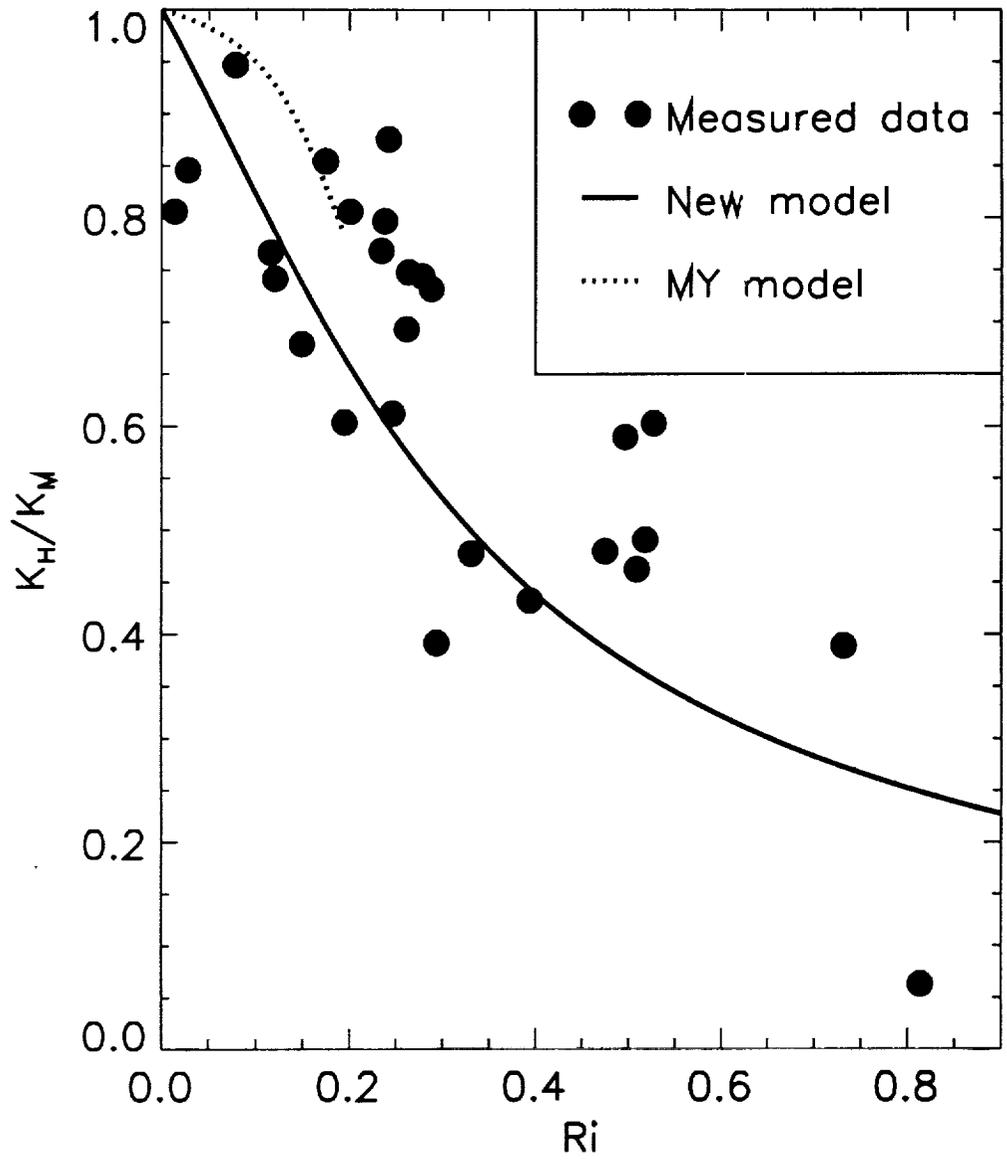


Fig. 3

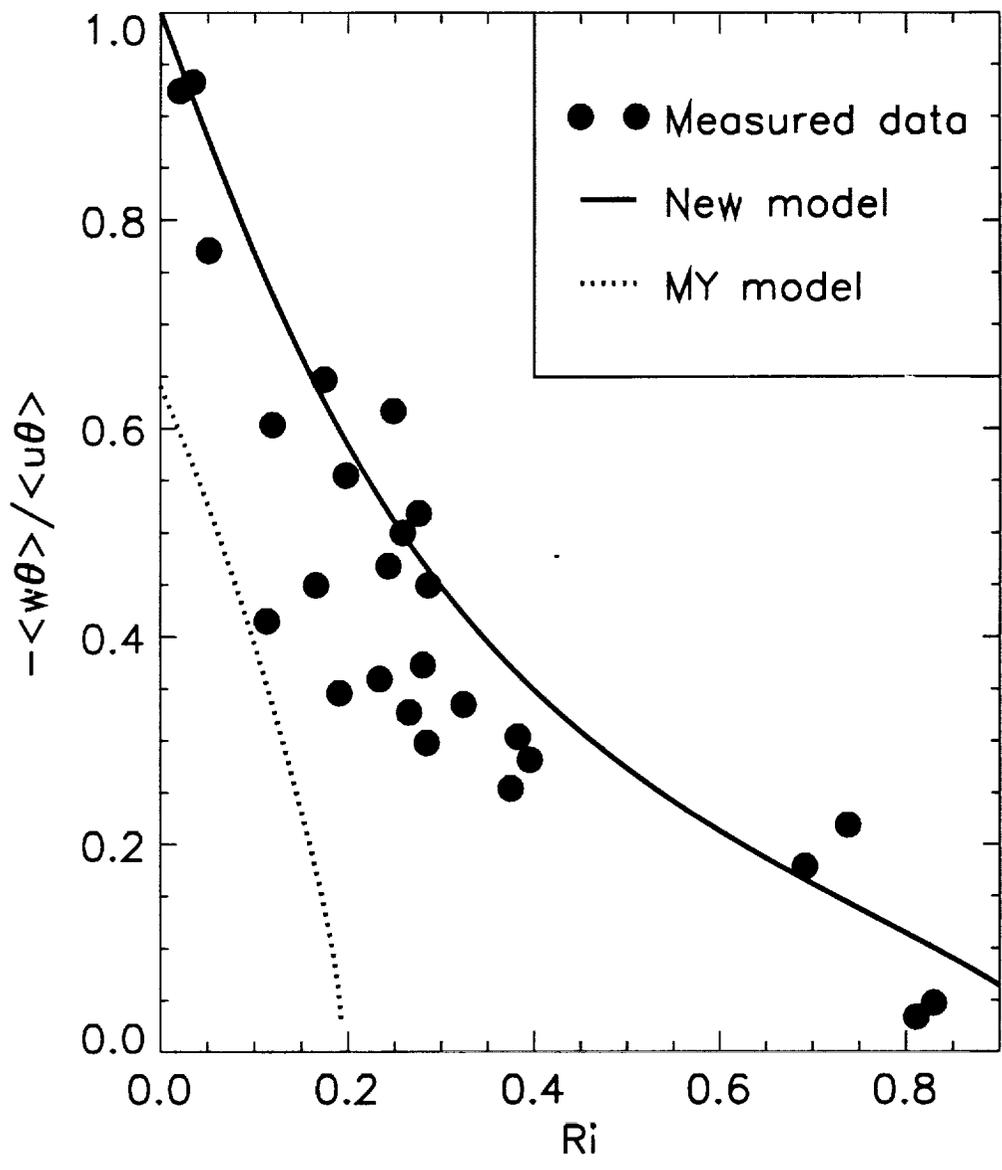


Fig. 4

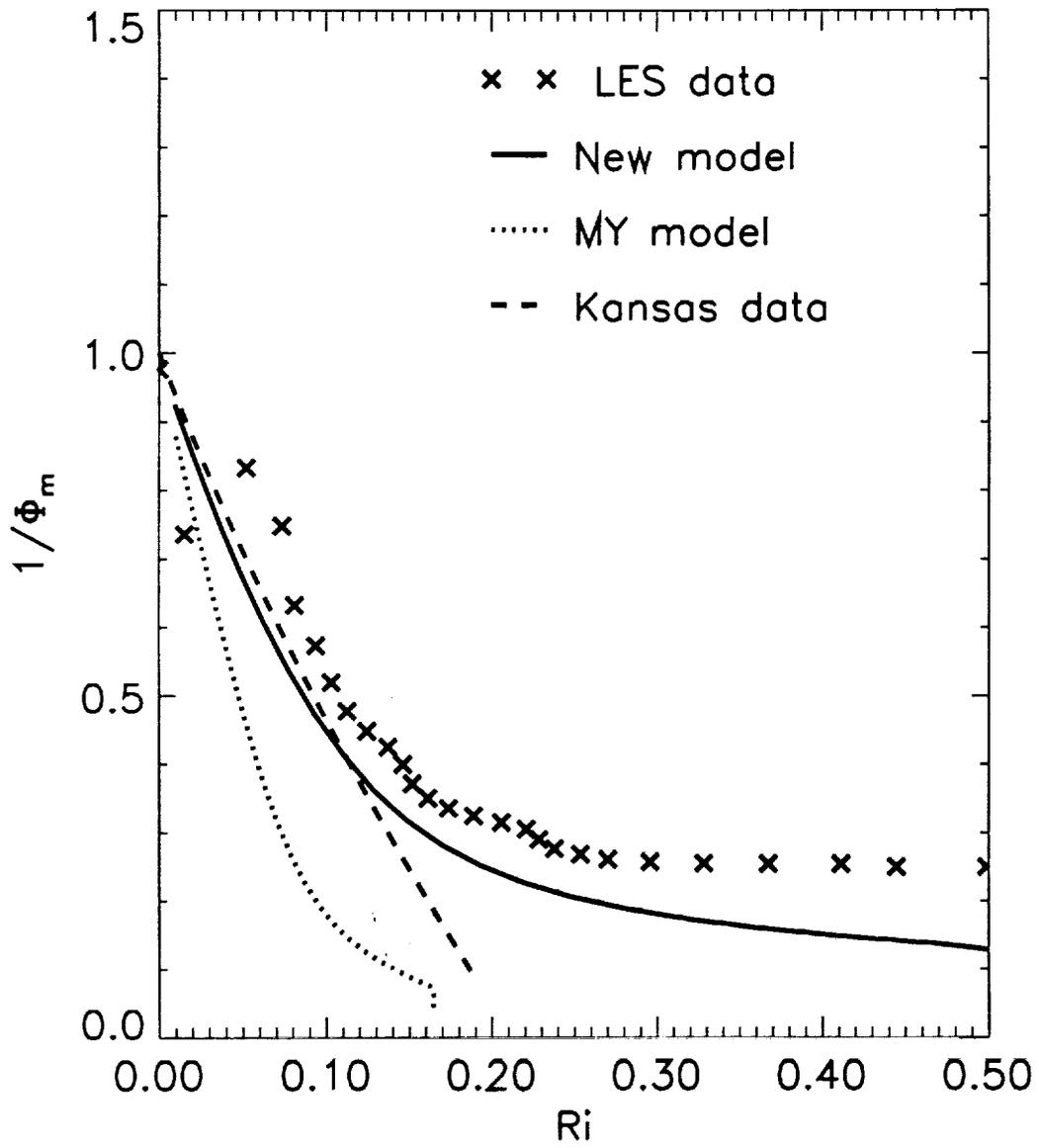


Fig. 5

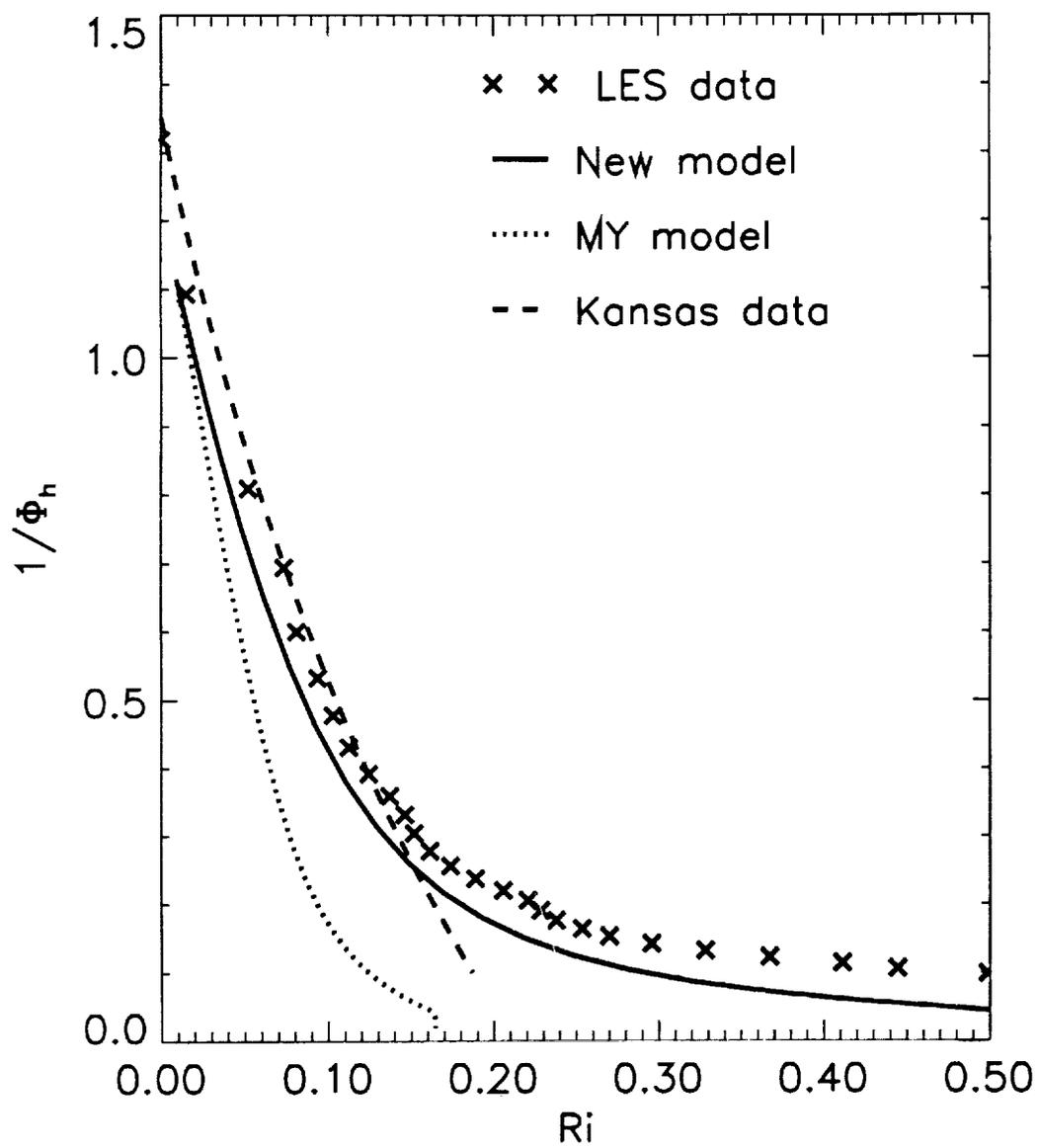


Fig. 6

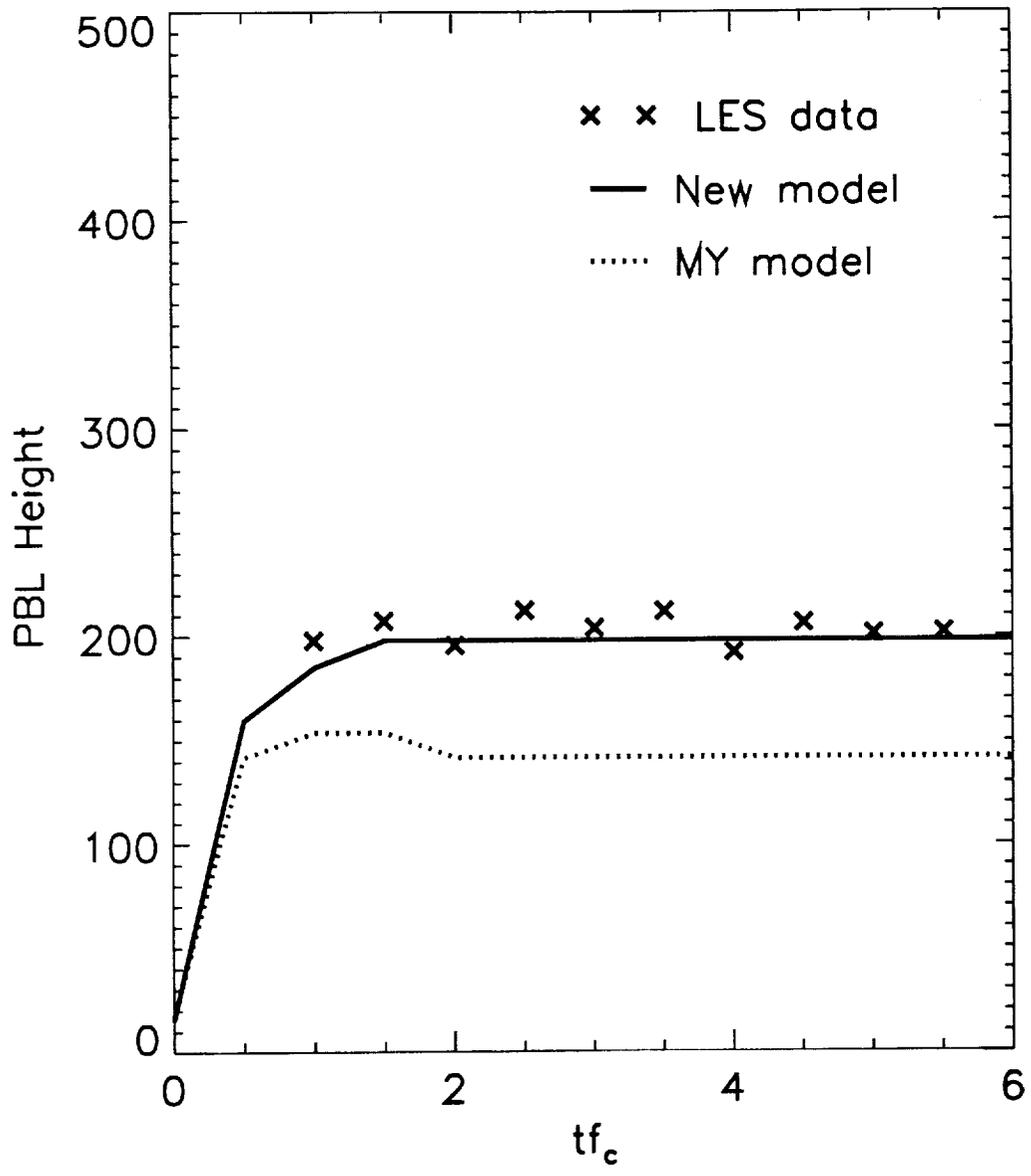


Fig.7